



**NAUPA CLASS CODES AND DORMANCY PERIODS FOR NON-GOVERNMENTAL HOLDERS**

Class Code	Dormant Period	Class Code	Dormant Period	Class Code	Dormant Period			
ACCOUNT BALANCES		INSURANCE		SECURITIES				
AC01	Checking Accounts	5	IN01	Individual Policy Benefits or Claim Payments	5	SC01	Dividends	3
AC02	Savings Accounts	5	IN02	Group Policy Benefits or Claim Benefits	5	SC02	Interest (Bond Coupons)	3
AC03	Matured CD or Savings Cert.	10	IN03	Proceeds Due Beneficiaries	3	SC03	Principal Payments	3
AC04	Christmas Club Funds	5	IN04	Proceeds from Matured Policies Endowments or Annuities	3	SC04	Equity Payments	3
AC05	Money-Deposit to Secure Funds	5	IN05	Premium Refunds	5	SC05	Profits	3
AC06	Security Deposits	5	IN06	Unidentified Remittances	5	SC06	Funds Paid to Purchase Shares	3
AC07	Unidentified Deposits	5	IN07	Other Amounts Due Under Policy Terms	5	SC07	Funds For Stocks & Bonds	3
AC08	Suspense Accounts	5	IN08	Agent Credit Balances	5	SC08	Shares of Stock (Returned by Post Office	3
AC99	Aggregate Account Balances <\$50		IN99	Aggregate Insurance Property <\$50		SC09	Cash for Fractional Shares	3
UNCASHED CHECKS		TRUST, INVESTMENTS, AND ESCROW ACCOUNTS		SC10	Unexchanged Stock & Fractional Shares of Successor Corp	3		
CK01	Cashier's Checks	7	TR01	Paying Agent Accounts	3	SC11	Other Cert of Ownership	3
CK02	Certified Checks	7	TR02	Undelivered/Uncashed Dividends	3	SC12	Underlying Shares or Other Outstanding Certificates	3
CK03	Registered Checks	7	TR03	Funds held in Fiduciary Capacity	5	SC13	Funds for Liquidation Redemption of Surrendered Stocks or Bonds	3
CK04	Treasurer's Checks	5	TR04	Escrow Accounts	5	SC14	Debentures	3
CK05	Drafts	5	TR05	Trust Vouchers	5	SC15	US Govt Securities	3
CK06	Warrants	5	TR99	Aggregate Trust Property <\$50		SC16	Mutual Fund Shares	3
CK07	Money Orders	7	MINERAL PROCEEDS & MINERAL INTERESTS		SC17	Warrants (Rights)	3	
CK08	Traveler's Checks	15	MI01	Net Revenue Interest	5	SC18	Matured Bond Principal	3
CK09	Foreign Exchange Checks	5	MI02	Royalties	5	SC19	Dividend Reinvestment Plans	3
CK10	Expense Checks	5	MI03	Overriding Royalties	5	SC20	Credit Balances	3
CK11	Pension Checks	3	MI04	Production Payments	5	SC99	Securities Property Not Identified Above	3
CK12	Credit Checks or Memos	5	MI05	Working Interest	5			
CK13	Vendor Checks	5	MI06	Bonuses	5	MISCELLANEOUS		
CK14	Checks Written Off to Income	5	MI07	Delay Rentals	5	ZZZZ	Properties Not Identified Above	5
CK15	Other Outstanding Official Checks	5	MI08	Shut-In Royalties	5	<div>* Property should be reported prior to delivery of safe deposit box contents. The UPD will review the report and provide delivery instructions, if applicable.</div> <div>** 60% of the unredeemed portion of the face value if an expiration period is displayed on the certificate. Gift certificates with no expiration date, or a statement that the expiration date does not apply in North Carolina, do not escheat.</div> <div>^If as a result of retail business transaction, 3 year dormancy period; otherwise, 5 year dormancy period.</div>		
CK16	CD Interest Checks	5	MI09	Minimum Royalties	5			
CK99	Aggregate Uncashed Checks < \$50		MI99	Aggregate Mineral Interests < \$50	5			
TRADITIONAL IRA, SEP IRA, SARSEP, and SIMPLE IRA		MISCELLANEOUS CHECKS & INTANGIBLE PERSONAL PROPERTY						
IR01	Traditional IRA - Cash	3	MS01	Wages, Payroll, Salary	1			
IR02	Traditional IRA - Mutual Funds	3	MS02	Commissions	1			
IR03	Traditional IRA - Securities	3	MS03	Workers' Compensation Benefits	5			
ROTH IRA		MS04	Payment for Goods & Services	5				
IR05	Roth IRA - Cash	3	MS05	Customer Overpayments	^3/5			
IR06	Roth IRA - Mutual Funds	3	MS06	Unidentified Remittances	^3/5			
IR07	Roth IRA - Securities	3	MS07	Unrefunded Overcharges	^3/5			
SAFE DEPOSIT BOXES & SAFEKEEPING		MS08	Accounts Payable	5				
SD01	Safe Deposit Box Contents *	2	MS09	Credit Balances (3 Retail/5 Other)	^3/5			
SD02	Safekeeping/Loan Collateral *	5	MS10	Discounts Due	^3/5			
SD03	Other Tangible Property *	5	MS11	Refunds Due	^3/5			
DEMUTUALIZATION		MS12	Unredeemed Gift Certificates **	3				
DM01	Cash	3	MS13	Unclaimed Loan Collateral	5			
DM02	Stock	3	MS14	Pension & Profit Sharing Plans	3			
DM99	Aggregate Demutualization Property <\$50	3	MS15	Dissolution or Liquidation	1			
UTILITIES		MS16	Misc Outstanding Checks	5				
UT01	Utility Deposits	1	MS17	Misc Intangible Prop	5			
UT02	Membership Fees	1	MS18	Suspense Liabilities	5			
UT03	Refunds or Rebates	1	MS19	Layaway Deposits & Payments	3			
UT04	Capital Credit Distributions	3	MS20	Rents	5			
UT99	Aggregate Utility Property < \$50		MS99	Aggregate Misc Checks & Intangible Personal Property <\$50				